

# APPLICATION FORM

This application form should only be used in connection with personal accounts. Please complete all of this form.

## 1. Account Type

Name of Account:

(If opening a Fixed Rate Bond, please specify 1, 2 or 3 years)

Amount to be invested: £

For Regular Saver accounts, the initial deposit must be the same as the regular monthly payment.

Account to be opened by:  Cash  Cheque  Electronic funds transfer

Transfer from existing

Britannia International Account No:

If you are opening the account by cheque, you must make the cheque payable to yourself as the account holder, or to Britannia International re "your name". Cheques payable only to Britannia International drawn from a third party account cannot be accepted. **Electronic funds transfers** from another financial institution must be paid to **A/c Name: Britannia International A/c No.: 71040625** at NatWest Prospect Hill Douglas Isle of Man IM99 1AQ British Isles **Sort Code: 60-07-03** quoting your full name and address as a reference. SWIFT Code: RBOSIMDD IBAN No.: GB44NWBK60070371040625

## 2. Personal Details

### FIRST/SOLE ACCOUNT HOLDER DETAILS

Title:

Surname:

Forename:

Residential Address:

Postcode:

Correspondence Address: (if applicable):

Postcode:

Reason for using a correspondence address:

Country of Residence for Tax Purposes:

Tel. No.s:

Email:

Date of Birth:

Nationality:

Place and Country of Birth:

Occupation:

(If retired, please state retired and previous occupation)

Employer Name and Address:

(If self-employed, please state trading name)

Account number of existing Britannia International accounts:

and

### EU RESIDENTS

If you are resident in the EU please read and complete the following:

Under legislation relating to the EU Savings Tax Directive (EUSTD), Britannia International is obliged to apply retention tax to interest earned by EU residents unless you request exchange of information with your home tax authorities or confirm you are tax exempt. (Please note that Guaranteed Capital Bonds and certain Trustee accounts are currently excluded from the EUSTD.) Please refer to our EU Savings Tax Information Sheet for more details and indicate your preferred choice below. Please note if you already hold an account with us, any change you make to your preferred option here will apply to all your accounts.

Retention Tax

Please note this will automatically be applied if this section is not completed

Exchange of Information

Please provide your Tax Identification Number (TIN) if known

Exemption from tax

Please enclose confirmation from your local tax authority. Failure to provide this will result in retention tax being applied.

### SECOND ACCOUNT HOLDER DETAILS

Title:

Surname:

Forename:

Residential Address:

Postcode:

Correspondence Address: (if applicable):

Reason for using a correspondence address:

Country of Residence for Tax Purposes:

Tel. No.s:

Email:

Date of Birth:

Nationality:

Place and Country of Birth:

Occupation:

(If retired, please state retired and previous occupation)

Employer Name and Address:

(If self-employed, please state trading name)

Account number of existing Britannia International accounts:

and

Relationship to first account holder:

Retention Tax

Please note this will automatically be applied if this section is not completed

Exchange of Information

Please provide your Tax Identification Number (TIN) if known

Exemption from tax

Please enclose confirmation from your local tax authority. Failure to provide this will result in retention tax being applied. Please complete overleaf

## 2. Personal Details (continued)

### THIRD ACCOUNT HOLDER DETAILS

Title:  Surname:

Forename:

Residential Address:   
Postcode:

Country of Residence for Tax Purposes:

Tel. No.s:

Email:

Date of Birth:  DD/MM/YY Nationality:

Place and Country of Birth:

Occupation:

(If retired, please state retired and previous occupation)

Employer Name and Address:   
(If self-employed, please state trading name)

Account number of existing Britannia International accounts:

and

Relationship to first account holder:

### FOURTH ACCOUNT HOLDER DETAILS

Title:  Surname:

Forename:

Residential Address:   
Postcode:

Country of Residence for Tax Purposes:

Tel. No.s:

Email:

Date of Birth:  DD/MM/YY Nationality:

Place and Country of Birth:

Occupation:

(If retired, please state retired and previous occupation)

Employer Name and Address:   
(If self-employed, please state trading name)

Account number of existing Britannia International accounts:

and

Relationship to first account holder:

### EU RESIDENTS

If you are resident in the EU please complete the following:

Under legislation relating to the EU Savings Tax Directive (EUSTD), Britannia International is obliged to apply retention tax to interest earned by EU residents unless you request exchange of information with your home tax authorities or confirm you are tax exempt. (Please note that Guaranteed Capital Bonds and certain Trustee accounts are currently excluded from the EUSTD.) Please refer to our EU Savings Tax information sheet for more details and indicate your preferred choice below. Please note if you already hold an account with us, any change you make to your preferred option here will apply to all your accounts.

Retention Tax  
Please note this will automatically be applied if this section is not completed

Exchange of Information   
Please provide your Tax Identification Number (TIN) if known

Exemption from tax  
Please enclose confirmation from your local tax authority. Failure to provide this will result in retention tax being applied.

Retention Tax  
Please note this will automatically be applied if this section is not completed

Exchange of Information   
Please provide your Tax Identification Number (TIN) if known

Exemption from tax  
Please enclose confirmation from your local tax authority. Failure to provide this will result in retention tax being applied.

## 3. Interest Payment

For Easy Access, Base Rate Saver, 30 Day Notice, 90 Day Notice and Fixed Rate Accounts:

Please pay interest:  Annually  Monthly

(Please note on monthly Fixed Rate Accounts – and on annual Fixed Rate Accounts with more than a one year term – interest must be paid away.)

Please choose one of the following options:

1. Credit this account

2. Credit my/our Britannia International account

Account No:

Account Holder Name:

3. Credit my/our UK, Channel Islands or Isle of Man Bank accounts

Account No:

Account Holder Name:

Sort Code:

Interest on the **Regular Saver Account** is added to the account each 1 January.

Interest on the **Deferred Interest Account** is paid at closure only.

Any investment return due on **Guaranteed Capital Bonds** will be added to the account at maturity.

## 4. Operation of Account

Please state the number of signatures required to operate this account.

Any one  All

Please note that the Company will assume that any one account holder can sign if neither option is selected.

Please send me/us\* a transaction advice:

Each time I make a transaction  On a monthly basis, recapping all transactions made during the previous month

Please note that transaction advices will be sent after each transaction if neither option is selected.

## 5. Additional Information

If you are applying for a Guaranteed Capital Bond or Fixed Rate Bond, please answer questions i) and ii) only. For all other accounts, please answer all questions.

i) Purpose of account:

ii) In your own words please give specific information about how these funds have been generated and include any supporting documentation with your application. (If transferring funds from another Britannia International account, please state how the funds were **originally** generated.)

iii) Estimate the total value of deposits per annum as best as you can:

iv) How often do you expect to use the account i.e. frequency per year?

## 6. Market Research

Where did you find out about this account/Britannia International?

Existing Account Holder

Radio

Newspaper/Magazine (Please specify)

Direct Mail

Friend/Relative

Branch (Please name)

Internet

Financial Advisor

Other (Please specify)

## 7. Declaration

I/We\* declare that this information is correct, the said sum is being invested in Britannia International Limited by me/us\* and that I/we\* have read and fully understood and agree to be bound by the Company's General Terms and Conditions of Deposit and the Special Conditions affecting this account from time to time as sole beneficial owner/joint beneficial owners\*. \*Please delete as appropriate.

First/sole account holder signature

Date:

Second account holder signature

Date:

Third account holder signature

Date:

Fourth account holder signature

Date:

## Data Protection Act

The data controller is Britannia International Limited whose principal office is Britannia House, P.O. Box 231, Douglas, Isle of Man, IM99 1SD. The information which I provide or which you obtain through my dealings with you or in connection with my account will be held on the Company's computer systems and other records. My customer data may be:

- exchanged and disclosed within the Company, its parent Company and authorised agents.
- used for account administration, research, analysis and to prevent fraud.
- used for market research purposes, developing goods and services, statistical and business analysis and creating and maintaining a customer profile.
- disclosed to appropriate regulatory authorities, any other body having a legal right to the information and anyone I appoint to administer or operate my account.

Telephone conversations between us may be recorded and/or monitored for both internal training and/or to ensure accurate operation of my account and I also understand that information about my account may be used for internal customer monitoring.

I have the right to access the information held (you reserve the right to charge an administration fee) and to have any incorrect information corrected. You will never share any information about me and my account outside the Britannia Group for marketing purposes. As part of your service you may occasionally keep me informed of special offers, products and services. If I do not wish to receive this information I can tick the box

Please complete overleaf

## 8. Checklist

Please use the following checklist to ensure you have fully completed your application before you return it to us. Should you not wish to complete any of the details and supply us with relevant identity documentation we may be unable to accept you as a customer.

- Sections 1-7 have been completed for all applicants
- Each applicant has signed and dated Section 7
- Cheque or transfer details are enclosed

Identification has been provided for each applicant from:

- List A
- List B
- Proof of correspondence address (if applicable)

Please now return this form with your identification documents and cheque or transfer details to: Britannia International Limited, P.O. Box 231, Douglas, Isle of Man, IM99 1SD, British Isles.

## 9. Providing Identification

We would like to open your account as quickly as possible. To enable us to complete the account opening procedures without delay we request your assistance. Like all building societies and banks, Britannia International is required to confirm the name, address and signature of all new customers and re-verify existing customer details. This can be done by providing one document each from List A and List B, shown below, for each applicant.

### Proof of Identity (List A)

Full driving licence with photograph  
Full passport with photograph  
Armed forces ID card with photograph  
Employer ID card with photograph  
Government issued national identity card with photograph.

### Approved Certifiers

A lawyer, solicitor, accountant, director or manager of an authorised credit or financial institution, a notary public, a member of the judiciary, a senior civil servant, a British Embassy official or a serving police officer.

### Proof of Address(es) (List B)

Utility bill  
Council tax bill  
Bank statement†  
Mortgage statement†  
Credit card statement†

### List A

Documents from List A must be valid, show your signature and be supplied in the form of recently certified copies if provided by post. A certifier must be a suitable independent person from the list shown above. The certifier should **sign and date** the copy document (printing their name clearly in capitals underneath) and clearly indicate their position or capacity on it. The certifier must state in English that the document has been "certified as a true copy of the original". Customers living in the Isle of Man may bring original documents to one of our branches.

### List B

The items supplied in List B must be original, show your current address(es), be recent and **not more than three months old**. Items marked † must be issued by a recognised bank or building society. We promise to send any original identification documents back to you by return post. Please note statements for storecards are not acceptable as proof of address.

Please note we will also require proof of your **correspondence address** if this differs from your residential address. Proof of correspondence address can be provided by:

- Any item in List B showing correspondence address
- PO Box Contract
- Letter of authorisation from employer
- Letter of authorisation from residents of correspondence address

### For Introducer Use Only

Company stamp

### For Internal Use Only

Code:  Account No:


Client ID:  Input by:


Authorised by:


Comment:

## Contact us

If you would like any additional information or help you can contact us by any of the following methods (or speak to your Adviser, if applicable):

 By telephone 9am – 5pm Monday to Friday, 10am – 5pm Wednesday.  
**01624 681100** (+44 1624 681100 if calling from outside the UK).  
An answerphone service is available outside these hours. To help us improve service and to assist staff training, calls may be monitored and/or recorded.

 By email to [enquiries@britanniainternational.com](mailto:enquiries@britanniainternational.com)

 By visiting our website at [www.britanniainternational.com](http://www.britanniainternational.com)

 By visiting our branches at Douglas, Ramsey or Port Erin in the Isle of Man.

 By fax 24 hours a day 01624 681105 (+44 1624 681105 if calling from outside the UK).

 Or by writing to us at Britannia International, Britannia House, P.O. Box 231, Douglas, Isle of Man, IM99 1SD, British Isles.